

Algebraic properties and invariants of lattice and matrix ideals

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Notation

- $S = K[t_1, \dots, t_s]$ polynomial ring over a field K
- I an ideal of S and S/I its quotient ring.
- The K -vector space of polynomials in S (resp. I) of degree at most i is denoted by $S_{\leq i}$ (resp. $I_{\leq i}$).

- The *affine Hilbert function* of S/I is:

$$H_I^a(i) := \dim_K(S_{\leq i}/I_{\leq i}), \quad i = 0, 1, 2, \dots,$$

- (Hilbert-Serre Theorem) There is a unique polynomial $h_I^a(t) \in \mathbb{Q}[t]$ of degree $k \geq 0$ such that

$$h_I^a(i) = H_I^a(i) \text{ for } i \gg 0.$$

- The positive integer

$$\deg(S/I) := k! \lim_{i \rightarrow \infty} H_I^a(i)/i^k$$

is the *degree* of S/I and k is the *dimension* of S/I .

Definition

- If $a = (a_1, \dots, a_s) \in \mathbb{N}^s$, we set $t^a := t_1^{a_1} \cdots t_s^{a_s}$.
- A **binomial ideal** is an ideal $I \subset S$ generated by “binomials”, i.e., by polynomials of the form $t^a - t^b$.
- If $a \in \mathbb{Z}^s$, we can write $a = a^+ - a^-$, with a^+, a^- in \mathbb{N}^s .
- A **lattice ideal** is an ideal of the form

$$I(\mathcal{L}) := (t^{a^+} - t^{a^-} \mid a \in \mathcal{L}) \subset S,$$

where \mathcal{L} is a **lattice (subgroup)** of \mathbb{Z}^s .

- If $P = I(\mathcal{L}) \subset S$, where $\mathcal{L} = \ker_{\mathbb{Z}}(A)$ for some integer matrix A , then P is called a **toric ideal**.

Let $\mathcal{L} \subset \mathbb{Z}^s$ be a lattice.

- The *torsion subgroup* of \mathbb{Z}^s/\mathcal{L} is:

$$T(\mathbb{Z}^s/\mathcal{L}) := \{\bar{x} \in \mathbb{Z}^s/\mathcal{L} \mid \ell \bar{x} = \bar{0} \text{ for some } \ell \in \mathbb{N}_+\}.$$

- The following hold:

(a) $\text{ht}(I(\mathcal{L})) = \text{rank}(\mathcal{L})$,

(b) $I(\mathcal{L})$ is unmixed,

(c) $\mathbb{Z}^s/\mathcal{L} \simeq \mathbb{Z}^{s-r} \oplus T(\mathbb{Z}^s/\mathcal{L})$, $r = \text{rank}(\mathcal{L})$.

Theorem (O'Carroll, Planas-Vilanova, -, 2014)

Let $\mathcal{L} \subset \mathbb{Z}^s$ be a lattice of rank r . The following hold.

- (a) If $r < s$, there is an integer matrix A of size $(s - r) \times s$ and rank $s - r$ such that $\mathcal{L} \subset \ker_{\mathbb{Z}}(A)$.
- (b) If $r < s$ and v_1, \dots, v_s are the columns of A , then

$$\deg(S/I(\mathcal{L})) = \frac{|T(\mathbb{Z}^s/\mathcal{L})|(s-r)! \operatorname{vol}(\operatorname{conv}(0, v_1, \dots, v_s))}{|T(\mathbb{Z}^{s-r}/\mathbb{Z}\{v_1, \dots, v_s\})|}.$$

- (c) If $r = s$, then $\deg(S/I(\mathcal{L})) = |\mathbb{Z}^s/\mathcal{L}|$.

- Assume $\mathbf{d} = (d_1, \dots, d_s) \in \mathbb{N}_+^s$. Then $S = \bigoplus_{d=0}^{\infty} S_d$ has a grading induced by setting $\deg(t_i) = d_i$.
- A graded ideal of S is an ideal which is graded with respect to \mathbf{d} .
- If $d_i = 1$ for all i and $I \subset S$ is graded, then

$$H_I(i) := H_I^a(i) - H_I^a(i-1) = \dim_K(S_i/I_i).$$

Corollary (López, -, 2013)

If $\dim(S/I(\mathcal{L})) = 1$ and $I(\mathcal{L})$ is graded, then

$$(\gcd\{d_i\}_{i=1}^s) \deg(S/I(\mathcal{L})) = (\max\{d_i\}_{i=1}^s) |T(\mathbb{Z}^s/\mathcal{L})|.$$

Example

If $\mathcal{L} = \ker_{\mathbb{Z}}(1, 2, 3)$, then

$$\deg(S/I(\mathcal{L})) = 3.$$

Lemma

Let I be a graded binomial ideal and let $V(I, t_i)$ be the *affine variety* defined by the ideal (I, t_i) .

If $V(I, t_i) = \{0\}$ for $i = 1, \dots, s$, then $\dim(S/I) = 1$.

Example

If I is the ideal of $S = K[t_1, t_2, t_3, t_4]$ generated by

$$f_1 = t_1^3 - t_2 t_3 t_4,$$

$$f_2 = t_2^3 - t_1 t_3 t_4,$$

$$f_3 = t_3^3 - t_1 t_2 t_4,$$

$$f_4 = t_4^3 - t_1 t_2 t_3,$$

then $V(I, t_i) = \{0\}$ for all i . Hence $\dim(S/I) = 1$.

Theorem (O'Carroll, Planas-Vilanova, -, 2014)

Let $I \subset S$ be a graded binomial ideal. Then I is a lattice ideal of dimension 1 if and only if

- (a) $V(I, t_i) = \{0\}$ for $i = 1, \dots, s$, and
 - (b) I is an unmixed ideal.
-

Condition (b) can be replaced by

- (b₁) I is Cohen-Macaulay, or by
- (b₂) $\text{Hull}(I) = I$.

$\text{Hull}(I) =$ intersection of the isolated primary components.

Definition

A *matrix ideal* is an ideal of the form

$$I(L) = (t^{a_i^+} - t^{a_i^-} \mid i = 1, \dots, m) \subset S,$$

where the a_i 's are the columns of an integer matrix L of size $s \times m$. Note that $I(L)$ is graded if and only if $\mathbf{d}L = 0$.

Example

$$L = \begin{pmatrix} 2 & 0 & 0 \\ -1 & 1 & -1 \\ -1 & -1 & 1 \end{pmatrix}$$

$$I(L) = (t_1^2 - t_2 t_3, t_2 - t_3, t_3 - t_2), \quad V(I(L), t_i) = \{0\} \quad \forall i,$$

$$I(L^\top) = (t_1^2 - 1, t_2 - t_1 t_3, t_3 - t_1 t_2), \quad 0 \notin V(I(L^\top), t_1).$$

Definition

A graded ideal $I \subset S$ is a *complete intersection* if I is generated by $\text{ht}(I)$ homogeneous polynomials.

Problem

Characterize in algebraic and geometric terms the complete intersection matrix ideals of dimension 1.

Theorem (López, -, 2013)

A graded lattice ideal $I(\mathcal{L}) \subset S$ of dimension 1 is a complete intersection if and only if there is an integer matrix L of size $s \times (s - 1)$ with column vectors a_1, \dots, a_{s-1} such that

(a) $V(I(L), t_i) = \{0\}$ for all i , and

(b) $\mathcal{L} = \mathbb{Z}\{a_1, \dots, a_{s-1}\}$.

Proof. \Rightarrow) If $I(\mathcal{L}) = (\{t^{a_i^+} - t^{a_i^-}\}_{i=1}^{s-1})$, then (b) holds. If L is the matrix with column vectors a_1, \dots, a_{s-1} , then (a) holds.

\Leftarrow) By (a), $\text{ht}(I(L)) = s - 1$. Hence $I(L)$ is a c.i. and by an earlier result $I(L)$ is a lattice ideal. We claim that $I(L) = I(\mathcal{L})$. The inclusion " \subset " follows from (b). There is t^δ such that $t^\delta I(\mathcal{L}) \subset I(L)$.

The next corollary follows using a result of Katsabekis, Morales and Thoma [J. Algebra **324** (2010)].

Corollary

If $\text{char}(K) = p > 0$ and $I \subset S$ is a graded lattice ideal of dimension 1, then there are binomials g_1, \dots, g_r such that

$$\text{rad}(I) = \text{rad}(g_1, \dots, g_r),$$

where r is the height of I .

Graded matrix ideals satisfying $V(I, t_i) = \{0\}$

Proposition (O'Carroll, Planas-Vilanova, -, 2014)

Let $I = I(L)$ be a graded matrix ideal and \mathcal{L} the lattice spanned by the columns of L . Suppose $V(I, t_i) = \{0\} \forall i$.

- (a) If I is unmixed, then $I = I(\mathcal{L})$.
- (b) If I is not unmixed, then $I = I(\mathcal{L}) \cap \mathfrak{q}$, where \mathfrak{q} is an \mathfrak{m} -primary component of I .
- (c) $(\gcd\{d_i\}_{i=1}^s) \deg(S/I) = \max_i \{d_i\} |T(\mathbb{Z}^s/\mathcal{L})|$.

Definition

Let $a_{i,j} \in \mathbb{N}$, $i, j = 1, \dots, s$, and let L be an $s \times s$ matrix of the following special form:

$$L = \begin{pmatrix} a_{1,1} & -a_{1,2} & \cdots & -a_{1,s} \\ -a_{2,1} & a_{2,2} & \cdots & -a_{2,s} \\ \vdots & \vdots & \cdots & \vdots \\ -a_{s,1} & -a_{s,2} & \cdots & a_{s,s} \end{pmatrix}.$$

The matrix L is called a *pure binomial* matrix (**PB** matrix) if $a_{j,j} > 0$ for all j , and for each row and each column of L at least one off-diagonal entry is non-zero.

The Laplacian matrix of a graph is a PB matrix.

Next, we study PB matrices using algebraic graph theory.

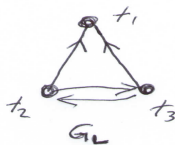
Definition

Let $B = (b_{i,j})$ be an $s \times s$ real matrix. The *underlying digraph* of B , denoted by G_B , has vertex set $\{t_1, \dots, t_s\}$, with an arc from t_i to t_j if and only if $b_{i,j} \neq 0$. If $b_{i,i} \neq 0$, we put a loop at vertex t_i . If B is a PB matrix, loops in G_B are omitted.

A digraph is *strongly connected* if for any two vertices t_i and t_j there is a directed path from t_i to t_j and a directed path from t_j to t_i .

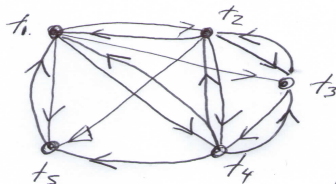
Examples of underlying digraphs

$$L = \begin{bmatrix} 2 & 0 & 0 \\ -1 & 1 & -1 \\ -1 & -1 & 1 \end{bmatrix}$$



underlying
digraph
not
strongly
connected.

$$L = \begin{bmatrix} 4 & -1 & -1 & -1 & -1 \\ -1 & 4 & -1 & -1 & -1 \\ 0 & -1 & 2 & -1 & 0 \\ -1 & -1 & -1 & 4 & -1 \\ -1 & 0 & 0 & 0 & 1 \end{bmatrix}$$



G_L is strongly connected.

$$L \cdot (1, 1, 1, 1, 1)^T = 0$$

$$\text{~~(4, 3, 5, 3, 10)~~ } L = 0$$

Duality Theorem (O'Carroll, Planas-Vilanova, -, 2014)

Let L be a PB matrix of size $s \times s$ such that $\mathbf{d}L = 0$ for some $\mathbf{d} \in \mathbb{N}_+^s$.

- (a) If G_L is strongly connected, then $\text{rank}(L) = s - 1$ and there is \mathbf{c} in \mathbb{N}_+^s such that $L\mathbf{c}^\top = 0$.
- (b) The following conditions are equivalent:
 - (b₁) G_L is strongly connected.
 - (b₂) $V(I(L), t_i) = \{0\}$ for all i .
 - (b₃) $L_{i,j} > 0 \ \forall \ i, j$; $\text{adj}(L) = (L_{i,j})$ is the adjoint of L .

Example

Let L be the following PB matrix and $\text{adj}(L)$ its adjoint:

$$L = \begin{pmatrix} 4 & -1 & -1 & -1 & -1 \\ -1 & 4 & -1 & -1 & -1 \\ 0 & -1 & 2 & -1 & 0 \\ -1 & -1 & -1 & 4 & -1 \\ -1 & 0 & 0 & 0 & 1 \end{pmatrix},$$

$$\text{adj}(L) = \begin{pmatrix} 20 & 15 & 25 & 15 & 50 \\ 20 & 15 & 25 & 15 & 50 \\ 20 & 15 & 25 & 15 & 50 \\ 20 & 15 & 25 & 15 & 50 \\ 20 & 15 & 25 & 15 & 50 \end{pmatrix}.$$

By last theorem, we get $V(I(L), t_i) = \{0\}$ for all i and G_L is strongly connected.

Complementing a result of Herzog

Theorem (O'Carroll, Planas-Vilanova, -, 2014)

If $S = K[t_1, t_2, t_3]$, then I is a graded lattice ideal of S of dimension 1 if and only if I is the matrix ideal of a 3×3 PB matrix L such that $L\mathbf{1}^\top = 0$.

This result is due to Herzog [Manuscripta Math., 1970] when I is the toric ideal

$$I = (t^{a^+} - t^{a^-} \mid a \in \ker_{\mathbb{Z}}(d_1, d_2, d_3))$$

of the monomial space curve parameterized by $y_1^{d_1}, y_1^{d_2}, y_1^{d_3}$, where d_1, d_2, d_3 are positive integers.

Example

Let L be the PB matrix

$$L = \begin{pmatrix} 4 & -2 & -2 \\ -1 & 4 & -3 \\ -2 & -2 & 4 \end{pmatrix}.$$

Since $L\mathbf{1}^\top = 0$, by the last theorem we get that

$$I(L) = (t_1^4 - t_2 t_3^2, t_2^4 - t_1^2 t_3^2, t_1^2 t_2^3 - t_3^4)$$

is a graded lattice ideal of dimension 1 which is graded with respect to $\mathbf{d} = (5, 6, 7)$.

The degree of $S/I(L)$ is 14. If $K = \mathbb{Q}$, then $I = \mathfrak{p}_1 \cap \mathfrak{p}_2$, where $\mathfrak{p}_1, \mathfrak{p}_2$ are prime ideals of degree 7.

Laplacian matrices and ideals

Let G be a connected simple graph, where $V = \{t_1, \dots, t_s\}$ is the set of vertices, E is the set of edges, and let w be a weight function

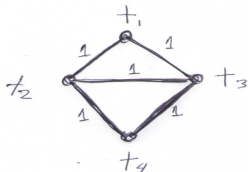
$$w: E \rightarrow \mathbb{N}_+, \quad e \mapsto w_e.$$

Let $E(t_i)$ be the set of edges incident to t_i . The *Laplacian matrix* $L(G)$ of G is the $s \times s$ matrix whose (i, j) -entry $L(G)_{i,j}$ is given by

$$L(G)_{i,j} := \begin{cases} \sum_{e \in E(t_i)} w_e & \text{if } i = j, \\ -w_e & \text{if } i \neq j \text{ and } e = \{t_i, t_j\} \in E, \\ 0 & \text{otherwise.} \end{cases}$$

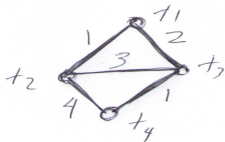
Notice that $L(G)$ is symmetric, $\mathbf{1}L(G) = 0$ and $\text{rank}(L(G)) = s - 1$.

Weighted graphs as multigraphs



$$L(G) = \begin{bmatrix} 2 & -1 & -1 & 0 \\ -1 & 3 & -1 & -1 \\ -1 & -1 & 3 & -1 \\ 0 & -1 & -1 & 2 \end{bmatrix}$$

(i, j) entry of Adjoint = 8



$$L(G) = \begin{bmatrix} 3 & -1 & -2 & 0 \\ -1 & 8 & -3 & -4 \\ -2 & -3 & 6 & -1 \\ 0 & -4 & -1 & 5 \end{bmatrix}$$

(i, j) entry of Adjoint = 67



We can regard the weighted graph G as a multigraph (each edge e occurs w_e times)

Definition

- The matrix ideal I_G of $L(G)$ is called the *Laplacian ideal* of the graph G .
- If \mathcal{L} is the lattice generated by the columns of $L(G)$, the group

$$K(G) := T(\mathbb{Z}^s / \mathcal{L})$$

is called the *critical group* or the *sandpile group* of G .

- If G is connected, then $\text{rank}(\mathcal{L}) = \text{ht}(I_G) = s - 1$.
- The structure, as a finite abelian group, of $K(G)$ is only known for a few families of graphs.

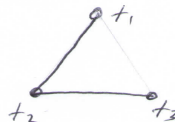
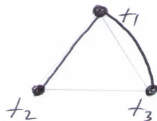
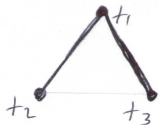
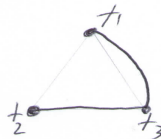
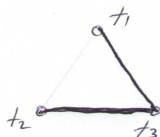
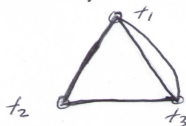
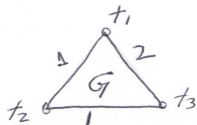
Theorem (the Matrix-Tree Theorem, Cayley (1889))

If G is regarded as a multigraph (where each edge e occurs w_e times), then the number of spanning trees of G is the (i, j) -entry of the adjoint matrix of $L(G)$ for any (i, j) .

The order of $T(\mathbb{Z}^s/\mathcal{L})$ is the gcd of all $(s - 1)$ -minors of $L(G)$. Hence the order of $K(G) = T(\mathbb{Z}^s/\mathcal{L})$ is the number of spanning trees of G .

THE MATRIX-TREE THEOREM

$$L(G) = \begin{bmatrix} 3 & -1 & -2 \\ -1 & 2 & -1 \\ -2 & -1 & -3 \end{bmatrix}$$



G has 5 spanning trees

Proposition

Let G be a connected graph, $I_G \subset S$ its Laplacian ideal, \mathcal{L} the lattice spanned by the columns of $L(G)$. Then:

- (a) $V(I_G, t_i) = \{0\}$ for all i .*
- (b) $\deg(S/I_G) = \deg(S/I(\mathcal{L})) = |K(G)|$.*
- (c) $\text{Hull}(I_G) = I(\mathcal{L})$.*
- (d) If $\deg_G(t_i) \geq 3$ for all i , then I_G is not a lattice ideal.*
- (e) If $\deg_G(t_i) \geq 2$ for all i , then I_G is not a complete intersection.*
- (f) If $G = \mathcal{K}_s$ is a complete graph, then $\deg(S/I_G) = s^{s-2}$.*

Example

Let G be the complete graph on 3 vertices. Then

$$L(G) = \begin{pmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{pmatrix}$$

$$I_G = (t_1^2 - t_2 t_3, t_2^2 - t_1 t_3, t_3^2 - t_1 t_2), \quad V(I_G, t_i) = \{0\} \quad \forall i.$$

I_G is not a complete intersection, is a lattice ideal, is not a prime ideal, has degree 3 and dimension 1.

Vanishing ideals over finite fields

Let $K = \mathbb{F}_q$ be a finite field with q elements and X a subset of a projective space \mathbb{P}^{s-1} over K .

The following family arises in algebraic coding theory.

Proposition (Vaz Pinto, Neves, -, 2013)

The vanishing ideal $I(X)$ is a lattice ideal of S if and only if

$$X = \{[(x^{v_1}, \dots, x^{v_s})] \mid x_i \in K^* = K \setminus \{0\} \ \forall i\} \subset \mathbb{P}^{s-1},$$

for some monomials $x^{v_i} := x_1^{v_{i1}} \cdots x_n^{v_{in}}$, $i = 1, \dots, s$.

In what follows we assume that X is a subset of \mathbb{P}^{s-1}
parameterized by monomials:

$$X = \{[(x^{v_1}, \dots, x^{v_s})] \mid x_i \in K^* = K \setminus \{0\} \ \forall i\} \subset \mathbb{P}^{s-1}.$$

Some properties of $I(X)$

- (b) $I(X) = (\{t_i - x^{v_i}z\}_{i=1}^s \cup \{x_i^{q-1} - 1\}_{i=1}^n) \cap S$.
- (c) $I(X) = I(\mathcal{L})$ for some lattice \mathcal{L} of \mathbb{Z}^s of rank $s - 1$.
- (d) $\deg(S/I(X)) = |T(\mathbb{Z}^s/\mathcal{L})|$.
- (e) If x^{v_1}, \dots, x^{v_s} are square-free, then $I(X)$ is a complete intersection if and only if X is a projective torus.

It is usual to denote $H_{I(X)}$ simply by H_X .

- The degree of $S/I(X)$ is $|X|$.
- The least integer $r \geq 0$ such that $H_X(d) = |X|$ for $d \geq r$ is called the *regularity* of $S/I(X)$ and is denoted by $\text{reg}(S/I(X))$.

Parameterized linear codes arising from X

Definition

Let $X = \{[P_1], \dots, [P_m]\}$ and let $f_0(t_1, \dots, t_s) = t_1^d$, where $d \geq 1$. The linear map of K -vector spaces:

$$\text{ev}_d: S_d \rightarrow K^{|X|}, \quad f \mapsto \left(\frac{f(P_1)}{f_0(P_1)}, \dots, \frac{f(P_m)}{f_0(P_m)} \right)$$

is called an *evaluation map*. Notice that $\ker(\text{ev}_d) = I(X)_d$.

- The image of ev_d , denoted by $C_X(d)$, is a *linear code*
- $C_X(d)$ is called a *parameterized code* of order d .

Definition

The **basic parameters** of the linear code $C_X(d)$ are:

- $\dim_K C_X(d) = H_X(d)$, the **dimension**,
- $|X| = \deg(S/I(X))$, the **length**,
- $\delta_X(d) := \min\{\|v\| : 0 \neq v \in C_X(d)\}$, the **minimum distance**, where $\|v\|$ is the number of non-zero entries of v .

$$\delta_X(d) = 1 \text{ for } d \geq \operatorname{reg}(S/I(X)).$$

Main Problem:

Find formulas, in terms of n, s, q, d , and the “combinatorics” of x^{v_1}, \dots, x^{v_s} , for the *basic parameters*:

- (a) $H_X(d)$,
- (b) $\deg(S/I(X))$,
- (c) $\delta_X(d)$,
- (d) $\operatorname{reg}(S/I(X))$.

Formulas for (a) – (d) are known when:

- (Sarmiento, Vaz Pinto, -, 2011) X is a projective torus.
- (López, Rentería, -, 2014) X is a set parameterized by $x_1^{d_1}, \dots, x_s^{d_s}, 1$, where $d_i \in \mathbb{N}_+$ for all i

Example

If $X = \{[(x_1^{90}, x_2^{36}, x_3^{20}, 1)] \mid x_i \in \mathbb{F}_{181}^* \text{ for } i = 1, 2, 3\}$, then $\text{reg}(K[t_1, \dots, t_4]/I(X)) = 13$.

The basic parameters of the family $\{C_X(d)\}_{d \geq 1}$ are:

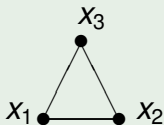
d	1	2	3	4	5	6	7	8	9	10	11	12	13
$ X $	90	90	90	90	90	90	90	90	90	90	90	90	90
$H_X(d)$	4	9	16	25	35	45	55	65	74	81	86	89	90
$\delta_X(d)$	45	36	27	18	9	8	7	6	5	4	3	2	1

Definition (Parameterizations arising from graphs)

Let G be a graph with vertices x_1, \dots, x_n . The **set parameterized** by G is the set X parameterized by all $x_i x_j$ such that $\{x_i, x_j\}$ is an edge of G .

Example

Let G be the graph:



Then $X = \{[(x_1 x_2, x_2 x_3, x_1 x_3)] \mid x_i \in K^* \text{ for all } i\} \subset \mathbb{P}^2$.

Remark

Let G be a connected graph.

- Formulas for the basic parameters of $C_X(d)$ are known for complete bipartite graphs.
- For complete graphs, no formula is known for the minimum distance.

Next we show a formula for the degree for any graph G .

Theorem (Neves, Vaz Pinto, -, 2012)

Let G be a graph with n vertices, c connected components and γ non-bipartite components. Then

$$\deg(S/I(X)) = \begin{cases} \left(\frac{1}{2}\right)^{\gamma-1} (q-1)^{n-c+\gamma-1}, & \text{if } \gamma \geq 1, q \text{ odd,} \\ (q-1)^{n-c+\gamma-1}, & \text{if } \gamma \geq 1, q \text{ even,} \\ (q-1)^{n-c-1}, & \text{if } \gamma = 0. \end{cases}$$

THE END